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## Flat maximal immersions

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### Abstract

This paper proves that a complete maximal Lorentzian surface in three-dimensional Minkowski space is flat iff it is the graph over a timelike plane of a function of one variable. Furthermore, we prove that maximal Lorentzian immersions are always unstable. Finally, we find the maximal Lorentzian surfaces of revolution.

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### 0. Introduction

The study of minimal surfaces has a long history going back to the experiments of the Belgian physicist J. Plateau in 1847. He experimented with a wire dipped in soap film and showed that the surface formed was stable with respect to area, that is to say slight deformations of the surface increased the area. Later mathematicians showed that the surfaces involved were minimal surfaces, i.e. surfaces with mean curvature zero. One can prove that for small domains in the surface the area functional has a local minimum. This paper answers the corresponding problem in relativity by considering Lorentzian surfaces of zero mean curvature in Minkowski space. We prove that these surfaces neither maximize nor minimize area.

There are several interesting papers related to the present paper, see for instance [1–5,7].

### 1. Flat maximal surfaces in $\mathbb{R}_1^3$

The metric on  $\mathbb{R}_1^3$  is

$$dx_1^2 - dx_2^2 + dx_3^2.$$

We start with the following lemma.

**Lemma 1.1.** *Let  $(M, g)$  denote a connected, oriented, real analytic, complete maximal surface in  $\mathbb{R}_1^3$ . Then the following two conditions are equivalent:*

- (1)  $(M, g)$  is flat.
- (2) There exists a timelike plane  $\Pi_*$  in  $\mathbb{R}_1^3$  with unit normal vector  $v$ , a real analytic function  $f : I \rightarrow \mathbb{R}$  defined on an open interval  $I$  and a linear function  $L : \Pi_* \rightarrow \mathbb{R}$  such that

$$M = \{x + h(x)v \mid x \in L^{-1}(I)\}, \quad h = f \circ L|_{L^{-1}(I)}.$$

**Remark 1.2.** Briefly  $(M, g)$  is flat iff it is the graph of a function  $h = f \circ L|_{L^{-1}(I)}$ .

*Proof.* To see that (2) implies (1), let  $A$  denote an isometry of  $\mathbb{R}_1^3$  such that

$$A(\Pi_*) = \Pi = \{(x_1, x_2, 0) \in \mathbb{R}_1^3 \mid (x_1, x_2) \in \mathbb{R}_1^2\}, \quad A(v) = (0, 0, 1).$$

Then

$$N = A(M) = \{(x_1, x_2, k(x_1, x_2)) \mid x \in A(L^{-1}(I)) = U\}, \quad k = f \circ L \circ A|_U^{-1}.$$

Now

$$L \circ A|_U^{-1}(x_1, x_2, 0) = a_1x_1 + a_2x_2$$

and

$$k_{11} = f''a_1^2, \quad k_{12} = f''a_1a_2, \quad k_{22} = f''a_2^2,$$

hence

$$K = (k_{11}k_{22} - k_{12}^2)/\ast = 0.$$

To prove that (1) implies (2) let  $(M, g)$  be flat and

$$G : M \rightarrow S_1^2$$

denote the Gauss map. Let  $A$  denote an orientation preserving isometry of  $\mathbb{R}_1^3$  such that

$$A(G(p)) = (0, 0, 1)$$

for some  $p \in M$ . Let  $N = A(M)$  and

$$H : N \rightarrow S_1^2$$

denote the Gauss map of  $N$ . According to [6]

$$\text{Im } H \subset \{(t, \pm t, 1) \mid t \in \mathbb{R}\}.$$

It follows that

$$T_q\pi : T_qN \rightarrow T_{\pi(q)}\Pi, \quad \pi : N \rightarrow \Pi, \quad (x_1, x_2, x_3) \mapsto (x_1, x_2, 0)$$

is an isomorphism for every  $q \in N$ .

Define stereographic projection

$$\sigma : S_1^2 \setminus \{q_3 = 1\} \rightarrow A, \quad (q_1, q_2, q_3) \mapsto (q_1/(1 - q_3), -q_2/(1 - q_3)).$$

According to [6] there are real analytic  $\mathbb{L}$  differentiable functions  $f$  and  $g : V \rightarrow \mathbb{L}$  such that

$$\sigma \circ \pm H \circ \Phi_{(f,g)}(z) = g(z),$$

where

$$\Phi_{(f,g)} : V \rightarrow N, \quad \Phi_{(f,g)}(z_0) = p, \quad z_0 \in V$$

is an isothermal coordinate system on  $N$  around  $p$  defined by [6, 7.3].

There are now two possibilities.

(1)  $g$  constant, hence  $H$  constant. (2)  $g$  nonconstant. We can then assume  $g'(z_0) = (a, \pm a)$  is null, hence nonzero.

Now let  $\sigma$  denote the complete null geodesic with initial velocity

$$v = \begin{cases} (1, 1) & \text{in case (1),} \\ (a, \mp a) & \text{in case (2)} \end{cases}$$

in the coordinate system  $\Phi_{(f,g)}$ .

Let  $\delta$  denote a null vector field along  $\sigma$  in  $TN$  such that  $\sigma'(s)$  and  $\delta(s)$  are linearly independent.

Let  $\tau_s$  denote the complete null geodesic with initial velocity  $\delta(s)$ . Since  $g'$  is everywhere null or zero

$$\tau_s'(t) \in \ker T_{\tau_s(t)} H$$

for small  $s$  and  $t$ , hence  $\tau_s'(t)$  lies in the two plane through  $\tau_s(0)$  orthogonal to  $H(\tau_s(0))$ .

Hence  $\tau_s$  can be reparametrized to a null geodesic of  $\mathbb{R}_1^3$  for all  $s \in \mathbb{R}$ . So

$$\beta_s(t) = \sigma(s) + t\delta(s) \in N$$

for all  $s, t \in \mathbb{R}$ . We claim that

$$N \subset \text{Im } \beta.$$

Assume for contradiction that there exists a  $q \in N \setminus \text{Im } \beta$ . Since  $N$  is connected there exists a  $q^* \in \partial \text{Im } \beta$ . Since  $q^* \in N$  we can define as above a null geodesic  $\sigma_*$  through  $q^*$  and a null vector field  $\delta_*$  along  $\sigma_*$  such that  $\sigma_*'(s)$  and  $\delta_*(s)$  are linearly independent:

$$(s, t) \mapsto \sigma_*(s) + t\delta_*(s)$$

is a chart on  $N$  near  $(0, 0)$ . Maximality implies that

$$\delta_*'(s) \in \text{span}\{\sigma_*'(s), \delta_*(s)\}$$

while nondegeneracy of the metric induced by  $\beta$  implies that

$$\langle \delta_*'(s), \delta_*(s) \rangle = 0$$

so  $\delta'_*(s)$ , the covariant derivative of  $\delta_*$  in  $\mathbb{R}_1^3$ , and  $\delta_*(s)$  are colinear. We can then assume that  $\delta_*$  is constant.

We can then also assume  $\delta$  is constant. By connectedness of  $N$  we can assume  $\delta = \delta_*$ . Now take a sequence  $\{(s_n, t_n)\}_{n \in \mathbb{N}}$  in  $\mathbb{R} \times \mathbb{R}$  such that

$$q^* = \lim_{n \rightarrow +\infty} \beta(s_n, t_n).$$

We can now take  $(s_*, t_*)$ ,  $(s, t) \in \mathbb{R} \times \mathbb{R}$  such that

$$\beta_*(s_*, t_*) = \sigma_*(s_*) + t_*\delta = \sigma(s) + t\delta = \beta(s, t).$$

Notice that

$$\sigma_1(u) = \sigma(u) + (t - t_*)\delta$$

is a null curve in  $N$ . Define

$$\beta_1(u, v) = \sigma_1(u) + v\delta.$$

Then

$$\beta_1(s, t_*) = \sigma_1(s) + t_*\delta = \sigma(s) + t\delta = \sigma_*(s_*) + t_*\delta.$$

Since  $\sigma_1$  and  $\sigma_*$  are both null curves on  $N$  through  $\sigma_1(s) = \sigma_*(s_*)$  such that

$$\sigma'_1(s), \quad \sigma'_*(s_*)$$

are linearly dependent we can reparametrize  $\sigma_1$  to  $\sigma_*$  with a smooth bijection  $h : \mathbb{R} \rightarrow \mathbb{R}$  as

$$\sigma_1 = \sigma_* \circ h.$$

Now

$$\beta_*(u, v) = \beta(h^{-1}(u), v + t - t_*).$$

Hence

$$\beta_*(0, 0) = q^* \in \text{Im } \beta.$$

Now  $\beta_*$  is onto an open neighbourhood of  $q^*$  and hence so is  $\beta$ . This contradicts  $q^* \in \partial \text{Im } \beta$  and shows that  $N = \text{Im } \beta$ . We claim that

$$\tau(s, t) = (\sigma_1(s) + t\delta_1, \sigma_2(s) + t\delta_2) = \pi \circ \beta(s, t), \quad s, t \in \mathbb{R}$$

is injective.

Choose a basis  $e_1 = \pi(\delta)$ ,  $e_2$  in  $\mathbb{R}^2$ . Notice that  $\pi(\sigma'(s))$  and  $\pi(\delta)$  are linearly independent, because  $T_q\pi$  is an isomorphism for all  $q$  and  $\sigma'(s)$  and  $\delta$  are linearly independent in  $T_qN$ . Writing

$$(\sigma_1(s), \sigma_2(s)) = \eta_1(s)e_1 + \eta_2(s)e_2,$$

we see that  $\eta'_2(s)$  is either everywhere positive or everywhere negative. This proves the claim. So

$$\pi : N \rightarrow \pi(N) = U$$

has an inverse

$$\rho : U \rightarrow N, \quad \rho(x) = (x_1, x_2, \rho_3(x)).$$

Now define

$$U_* = A^{-1}(U), \quad \Pi_* = A^{-1}(\Pi), \quad h = \rho_3 \circ A|_{\Pi_*}.$$

Then

$$M = \{x + h(x)v \mid x \in U_* \subset \Pi_*\}.$$

Notice that

$$\frac{d}{ds} \rho_3(x_0 + s(1, \mp 1)) \equiv 0$$

because  $\beta(s, t) = \sigma(s) + t\delta \in N$  and  $\delta = (\alpha, \mp \alpha, 0)$ ,  $\alpha \in \mathbb{R} \setminus \{0\}$ . Define

$$\begin{aligned} f(s) &= \rho_3(s(1, \pm 1)), \quad s \in I, \\ L(x) &= \frac{1}{2}(x_1 \pm x_2). \end{aligned}$$

Then

$$\begin{aligned} f \circ L(x_1, x_2) &= \rho_3\left(\frac{1}{2}(x_1 \pm x_2)(1, \pm 1)\right) \\ &= \rho_3\left(\frac{1}{2}(x_1 \pm x_2)(1, \pm 1) + \frac{1}{2}(x_1 \mp x_2)(1, \mp 1)\right) \\ &= \rho_3(x_1, x_2), \end{aligned}$$

which proves the lemma.  $\square$

We can improve the lemma to the following theorem.

**Theorem 1.3.** *Let  $(M, g)$  denote a connected, oriented, real analytic, complete maximal surface in  $\mathbb{R}_1^3$ . Then the following two conditions are equivalent:*

- (1)  $(M, g)$  is flat.
- (2) There exists a timelike plane  $\Pi_*$  in  $\mathbb{R}_1^3$  with unit normal vector  $v$ , a real analytic function  $f : \mathbb{R} \rightarrow \mathbb{R}$  and a linear function  $L : \Pi_* \rightarrow \mathbb{R}$  such that

$$M = \{x + h(x)v \mid x \in \Pi_*\}, \quad h = f \circ L.$$

*Proof.* We have already seen that (2) implies (1). If  $(M, g)$  is flat Lemma 1.1 guarantees the existence of  $v, L : \Pi_* \rightarrow \mathbb{R}$  and  $f : I \rightarrow \mathbb{R}$  as in Lemma 1.1. (2) Let  $v_1, v_2 = v, v_3$  denote

an orthonormal basis in  $\mathbb{R}_1^3$  where  $v_1, v_3$  is an orthonormal basis in  $\Pi_*$ . In coordinates with respect to this orthonormal basis the surface is the image of the map

$$\rho(x_1, x_2) = \begin{pmatrix} x_1 \\ x_2 \\ f(a_1x_1 + a_2x_2) \end{pmatrix},$$

where  $a_1, a_2 \in \mathbb{R}$ . The coordinates of the second fundamental form in this chart are

$$\begin{aligned} l &= a_1^2 f'' / ((a_1^2 - a_2^2) f'^2 + 1)^{1/2}, \\ m &= a_1 a_2 f'' / ((a_1^2 - a_2^2) f'^2 + 1)^{1/2}, \\ n &= a_2^2 f'' / ((a_1^2 - a_2^2) f'^2 + 1)^{1/2}, \end{aligned}$$

while the metric tensor is

$$\begin{pmatrix} 1 + a_1^2 f'^2 & a_1 a_2 f'^2 \\ a_1 a_2 f'^2 & -1 + a_2^2 f'^2 \end{pmatrix}.$$

By [8, Vol. 3, p.198] the mean curvature  $H$  is

$$H = -(a_2^2 - a_1^2) f'' / 2((a_1^2 - a_2^2) f'^2 + 1)^{3/2},$$

so either

$$a_1 = \pm a_2$$

or

$$f'' = 0.$$

If  $f'' = 0$  then  $M$  is a plane and by completeness  $I = \mathbb{R}$ . If  $a_1 = a_2$  define

$$M(u, v) = \frac{1}{2} \begin{pmatrix} u + v \\ u - v \end{pmatrix}.$$

Then

$$\tau(u, v) = \rho(M(u, v)) = \begin{pmatrix} \frac{1}{2}(u + v) \\ \frac{1}{2}(u - v) \\ g(u) \end{pmatrix}$$

for some real analytic function  $g$ . In this chart the metric tensor is

$$\begin{pmatrix} g'^2 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix},$$

hence

$$\Gamma_{11}^1, \Gamma_{12}^1, \Gamma_{22}^1 \equiv 0.$$

The first coordinate of a geodesic  $\beta$  is then

$$\beta_1(t) = b_1 t + c_1, \quad b_1, c_1 \in \mathbb{R},$$

which implies that  $I = \mathbb{R}$ . Similarly  $a_1 = -a_2$  implies  $I = \mathbb{R}$  and the theorem follows.  $\square$

## 2. Instability of maximal immersions

Let  $(M, g)$  denote a real analytic maximal surface in  $\mathbb{R}_1^3$ . Also let  $D$  denote a domain in  $M$  with compact closure.

**Definition 2.1.**  $D$  is  $+(-)$  stable if the second variation of the induced area is nonpositive (nonnegative) for all variations that leave the boundary  $\partial D$  of  $D$  fixed. Otherwise  $D$  is  $+(-)$  unstable.

Let  $K$  denote the sectional curvature function on  $M$ . We start with the following lemma.

**Lemma 2.2.** Let  $n$  denote a unit normal vector field on an open neighbourhood of some  $p \in M$  and  $S_n$  the shape operator derived from  $n$ . Then

- (1)  $K(p) \leq 0$  iff  $S_n(p)$  has only real eigenvalues  $\lambda_1, \lambda_2$ .
- (2)  $K(p) > 0$  iff  $S_n(p)$  has eigenvalues  $\lambda = \pm ib, b \neq 0$ .
- (3)  $\text{tr}\{S_n(p)^2\} = -2K(p)$ .

In (1)  $K(p) = \lambda_1\lambda_2$ . In (2)  $K(p) = b^2$ .

*Proof.*  $S_n(p)$  is a self-adjoint operator. Let  $v_1, v_2$  denote an orthonormal basis of  $T_pM$  with  $v_1$  timelike. Since  $M$  is a maximal surface the matrix representation of  $S_n(p)$  in basis  $v_1, v_2$  is

$$\{S_n(p)\}_{i,j} = \begin{pmatrix} a_1 & a_2 \\ -a_2 & -a_1 \end{pmatrix}.$$

Define

$$\Delta = 4(a_1^2 - a_2^2).$$

There are now two cases to consider.

- (1)  $\Delta \geq 0$ . There are two real eigenvalues

$$\lambda_{\pm} = \pm\sqrt{a_1^2 - a_2^2}$$

and

$$K(p) = \lambda_1\lambda_2 = -\frac{1}{2}(\lambda_1^2 + \lambda_2^2) = -\frac{1}{2}\text{tr}\{S_n(p)^2\} \leq 0$$

by the Gauss equation.

- (2)  $\Delta < 0$ . Here the eigenvalues are

$$\lambda = \pm i\sqrt{a_2^2 - a_1^2} = \pm ib$$

and the Gauss equation gives

$$K(p) = a_2^2 - a_1^2 = b^2, \quad \text{tr}\{S_n(p)^2\} = 2(a_1^2 - a_2^2).$$

The lemma follows. □

We can now prove the following theorem.

**Theorem 2.3.** *Every domain  $D \subset M$  with compact closure is  $+(-)$  unstable.*

*Proof.* Let  $D$  denote a domain in  $M$  with compact closure and take a  $p \in D$ . Let  $G$  denote a local Gauss map defined on an open neighbourhood  $W$  of  $p$ . There exists an isometry  $A$  of  $\mathbb{R}_1^3$  such that

$$A(G(p)) = (0, 0, 1).$$

According to [6] there exist  $\mathbb{L}$  differentiable real analytic functions  $f, g : V \rightarrow \mathbb{L}$  such that

$$\Phi_{(f, g)} : V \rightarrow U \subset A(D)$$

is a coordinate system around  $A(p)$  on  $N = A(M)$ . Here  $\Phi_{(f, g)}$  is defined by [6, 7.3]. We have the formulas

$$\begin{aligned} K(z) &= 16\langle g', g' \rangle / \langle f, f \rangle (1 - \langle g, g \rangle)^4(z), \quad \langle f, f \rangle = -f_1^2 + f_2^2, \\ \langle \cdot, \cdot \rangle &= \mu(dx \otimes dx - dy \otimes dy), \\ \mu(z) &= -\frac{1}{4}\langle f, f \rangle (1 - \langle g, g \rangle)^2(z), \quad z \in V, \end{aligned} \tag{1}$$

which will be useful later. Let

$$\alpha : ]-\epsilon, \epsilon[ \times N \rightarrow N$$

denote a variation of  $N$  such that

$$\frac{\partial \alpha}{\partial t} = hn,$$

where  $h$  vanishes on  $N \setminus A(D)$  and  $n$  is a vector field on  $N$  which is a unit normal vector field to  $N$  on  $\text{supp } h$ . We shall proceed to define  $h$  explicitly. First let

$$k(q) = \begin{cases} j(z) = R^2 - \alpha^2 z_1^2 - z_2^2, & q = \Phi_{(f, g)}(z) \in U, \alpha^2 z_1^2 + z_2^2 \leq R^2, \\ 0, & \text{otherwise.} \end{cases}$$

We have chosen  $R > 0$  such that  $\alpha^2 z_1^2 + z_2^2 \leq R^2$  implies  $z \in V$ . Furthermore, let  $k_*$  denote a smooth function on  $\mathbb{R}$  such that

$$\begin{aligned} k_*(t) &= 0, \quad t \leq 0, \\ k_*(t) &= 1, \quad t \geq 1, \\ |k'_*(t)| &\leq K, \quad \forall t \in \mathbb{R} \end{aligned}$$

for some positive real number  $K$ . Then define  $k_\epsilon(t) = k_*(t/\epsilon^2)$  for  $\epsilon > 0$ .  $h$  shall then denote the smooth function on  $N$  which is

$$h(q) = j(z)k_\epsilon(R^2 - \alpha^2 z_1^2 - z_2^2 - \epsilon^2), \quad 0 < \epsilon < R$$

when  $q = \Phi_{(f, g)}(z) \in U, \alpha^2 z_1^2 + z_2^2 \leq R^2$  and zero otherwise.



By [8, p.533] the second variation of area is

$$\frac{d^2 A_{\alpha, R}}{dt^2}(0) = \int_N (-h^2 \Sigma_2(n) - h \Delta h) dA, \quad \Sigma_2(n) = \text{tr}\{S_n^2\}.$$

According to Lemma 2.2 this is

$$\begin{aligned} & \int_N (-h^2 \Sigma_2(n) - h \Delta h) dA \\ &= \int_U (2h^2 K + \mu \langle \text{grad } h, \text{grad } h \rangle) dA \\ &= \pm \int_V 8h^2 \langle g', g' \rangle / (1 - \langle g, g \rangle)^2 dz_1 dz_2 \pm \int_V (h_1^2 - h_2^2) dz_1 dz_2, \end{aligned}$$

where

$$h_1 = \frac{\partial h}{\partial z_1}, \quad h_2 = \frac{\partial h}{\partial z_2}.$$

Now letting  $D_R = \{(z_1, z_2) \in \mathbb{L} \mid \alpha^2 z_1^2 + z_2^2 \leq R^2\}$  we compute

$$\int_{D_R} (-k_1^2 + k_2^2) dz_1 dz_2 = \int_{D_R} -k \Delta_F k dz_1 dz_2 = -\pi \frac{\alpha^2 - 1}{\alpha} R^4,$$

where  $\Delta_F$  denotes the flat Lorentzian Laplacian on  $\mathbb{L}$ . There exist positive constants  $K_1, K_2, K_3$  such that

$$|k_1| \leq K_1, \quad |k_2| \leq K_2, \quad |8 \langle g', g' \rangle / (1 - \langle g, g \rangle)^2| \leq K_3$$

on  $D_R$ , hence

$$\left| \int_{D_R} 8k^2 \langle g', g' \rangle / (1 - \langle g, g \rangle)^2 dz_1 dz_2 \right| \leq K_3 \pi R^6 / 3\alpha.$$

This means that there exists  $\alpha_+, R_+$  and  $\alpha_-, R_-$  such that

$$I(\alpha, R) = \int_{D_R} (2k^2 K + \mu \langle \text{grad } k, \text{grad } k \rangle) dA$$

has

$$I(\alpha_+, R_+) > 0, \quad I(\alpha_-, R_-) < 0.$$

Taking  $\epsilon > 0$  sufficiently small we conclude

$$\frac{d^2 A_{\alpha_+, R_+}}{dr^2}(0) > 0, \quad \frac{d^2 A_{\alpha_-, R_-}}{dr^2}(0) < 0$$

and the theorem follows.  $\square$

### 3. Maximal surfaces of revolution

Let  $f : I \rightarrow \mathbb{R}_+$  denote a smooth function on an open interval around 0 in  $\mathbb{R}$ . Define the surface of revolution

$$M_T = \{x(t, s) = (f(t) \cos s, t, f(t) \sin s) \mid t \in I, s \in \mathbb{R}\}$$

in  $\mathbb{R}_1^3$  still with metric tensor  $dx_1^2 - dx_2^2 + dx_3^2$ . We shall assume: (a)  $|f'| < 1$  everywhere, or (b)  $|f'| > 1$  everywhere. In the first case  $M_T$  is a smooth Lorentzian surface; in the second case a Riemannian surface with the induced metric. Define

$$f_0 = f(0), \quad x_0 = f'(0).$$

**Proposition 3.1.** *If  $M_T$  is maximal, then*

(a)  $I \subset ]-y f_0 / \sqrt{1 - x_0^2}, (\pi - y) f_0 / \sqrt{1 - x_0^2} [ = J$  and

$$f(t) = (1/D) \sin(Dt + y), \quad D = \sqrt{1 - x_0^2} / f_0, \quad y = \text{Arccos } x_0.$$

(b)  $I \subset ]-y/D, +\infty [$  or  $I \subset ]-\infty, -y/D [$  and

$$f(t) = \text{sign } x_0 (1/D) \sinh(Dt + y),$$

where  $|x_0| = \cosh y, y > 0, D = \text{sign}(x_0) \sinh y / f_0$ .

*Proof.* Compute

$$\langle x_t, x_t \rangle = f'(t)^2 - 1, \quad \langle x_t, x_s \rangle = 0, \quad \langle x_s, x_s \rangle = f(t)^2$$

and in case (a)

$$\begin{aligned} \langle \Pi(\partial_t, \partial_t), n \rangle &= f''(t) / \sqrt{1 - f'(t)^2}, \\ \langle \Pi(\partial_t, \partial_s), n \rangle &= 0, \\ \langle \Pi(\partial_s, \partial_s), n \rangle &= -f(t) / \sqrt{1 - f'(t)^2}, \end{aligned}$$

where  $\Pi$  is the second fundamental form of  $M$  and  $n$  is a unit normal vector field to  $M$ . It follows that  $f$  satisfies the second-order differential equation

$$f'(t)^2 - 1 - f(t) f''(t) = 0. \tag{2}$$

(a) Define

$$f_*(t) = (1/D) \sin(Dt + y), \quad t \in J.$$

It is the maximal solution of (2), satisfying the given initial conditions. Since  $f$  gives rise to a solution of (2) it follows that  $I \subset J$  and  $f = f_*$  on  $I$ .

(b) It is similar. □

Let  $g : I \rightarrow \mathbb{R}_+$  denote a smooth function on an open interval around 0 in  $\mathbb{R}$ . Define the surface

$$M_S = \{x(t, s) = (g(t) \cosh s, g(t) \sinh s, t) \mid t \in I, s \in \mathbb{R}\}$$

in  $\mathbb{R}_1^3$ . It is invariant under Lorentz transformations that preserve  $(0, 0, 1)$ .

**Proposition 3.2.** *If  $M_S$  is maximal, then*

$$g(t) = (1/D) \cosh(Dt + y), \quad g'(0) = \sinh y, \quad D = \sqrt{g'(0)^2 + 1/g(0)}.$$

*Proof.* This time the differential equation becomes

$$g(t)g''(t) - (1 + g'(t)^2) = 0. \quad \square$$

Let  $h : I \rightarrow \mathbb{R}$  denote a smooth function defined on an open interval  $I \subset \mathbb{R} \setminus \{0\}$  with  $h' < 0$ . Define

$$M_N = \{x(t, s) = (h(t) + t - s^2t, h(t) - t - s^2t, -2st) \mid s \in \mathbb{R}, t \in I\}$$

which is invariant under Lorentz isometries

$$\begin{pmatrix} 1 - s^2/2 & s^2/2 & s \\ -s^2/2 & 1 + s^2/2 & s \\ -s & s & 1 \end{pmatrix}$$

preserving the null vector  $(1, 1, 0)$ .

**Proposition 3.3.** *If  $M_N$  is maximal, then*

$$h(t) = at^3 + b, \quad a < 0, \quad b \in \mathbb{R}.$$

*Proof.* The differential equation becomes

$$th'' - 2h' = 0. \quad \square$$

There is a Clairaut Theorem for Lorentz surfaces of revolution.

**Proposition 3.4.** *Let  $x(\gamma(t)) = \beta(t)$ ,  $t \in A$  be a geodesic on  $M_T$  ( $|f'| < 1$ ),  $M_S$  or  $M_N$ . Then:*

(1) *On  $M_T$  with  $\beta'$  timelike (spacelike):*

$$\sinh \alpha(t) f(\gamma_1(t)) = \text{constant} \quad (\cosh \alpha(t) f(\gamma_1(t)) = \text{constant}).$$

(2) *On  $M_S$  with  $\beta'$  spacelike (timelike):*

$$\sinh \alpha(t) g(\gamma_1(t)) = \text{constant} \quad (\cosh \alpha(t) g(\gamma_1(t)) = \text{constant}).$$

(3) On  $M_N$  with  $\beta'$  timelike (spacelike):

$$\sinh \alpha(t)\gamma_1(t) = \text{constant} \quad (\cosh \alpha(t)\gamma_1(t) = \text{constant}).$$

**Remark 3.5.** In cases (1) and (3): If  $\beta'$  is timelike define  $\alpha$  by

$$\langle \beta' / | \beta' |, x_s / | x_s | \rangle = \sinh \alpha. \tag{3}$$

If  $\beta'$  is spacelike define  $\alpha$  by

$$\langle \beta' / | \beta' |, x_t / | x_t | \rangle = \sinh \alpha. \tag{4}$$

In case (2): If  $\beta'$  is spacelike define  $\alpha$  by (3) and if  $\beta'$  is timelike define  $\alpha$  by (4).

*Proof.* We have

$$g_{11} = E(t), \quad g_{12} = 0, \quad g_{22} = G(t).$$

Since  $\beta$  is a geodesic we have

$$\gamma_2'' + (G'/G)\gamma_1'\gamma_2' = 0,$$

which shows that

$$(G \circ \gamma_1)\gamma_2' = \text{constant} = \langle \beta', x_s(\gamma(t)) \rangle$$

using  $g_{12} = 0$ . Now use the definition of  $\alpha$  to reach the conclusion of the proposition.  $\square$

#### 4. Lines of curvature

Let  $(M, g)$  denote a real analytic Lorentz surface with unit normal  $U$  in a real analytic semi-Riemannian manifold  $(N, h)$ . When  $H$  and  $K$  denote the mean curvature and sectional curvature of  $M$  define

$$\begin{aligned} M_+ &= \{q \in M \mid H^2 - K > 0\}, \\ M_- &= \{q \in M \mid H^2 - K < 0\}, \\ M_0 &= \{q \in M \mid H^2 - K = 0\}. \end{aligned}$$

For every  $q \in M_+$  the shape operator  $S_q$  derived from  $U$  has two real eigenvalues  $\lambda_+(q) > \lambda_-(q)$ . They give rise to two real analytic one-dimensional distributions

$$\begin{aligned} \Delta_+ &= \ker(S_q - \lambda_+(q)\text{id}), \\ \Delta_- &= \ker(S_q - \lambda_-(q)\text{id}). \end{aligned}$$

They are everywhere orthogonal since  $S$  is self-adjoint.

$M_0$  is the semi-umbilic set.

**Proposition 4.1.** *Let  $(M, g)$  have constant mean curvature and  $p \in M_0$ . By  $\sigma_+ : I_+ \rightarrow M$  and  $\sigma_- : I_- \rightarrow M$  we denote two maximal null geodesics through  $p$  with linearly independent initial velocities. Then  $\sigma_+(I_+) \subset M_0$  or  $\sigma_-(I_-) \subset M_0$ .*

*Proof.* Let  $(V, \phi)$  denote an isothermal coordinate system around  $p$ , see [6]. Define

$$\begin{aligned} E &= g_{11}, & F &= g_{12}, & G &= g_{22}, \\ l &= \langle U, \Pi(\partial_1, \partial_1) \rangle, & m &= \langle U, \Pi(\partial_1, \partial_2) \rangle, & n &= \langle U, \Pi(\partial_2, \partial_2) \rangle, \end{aligned}$$

where  $U$  is a unit normal vector field to  $M$ . Then

$$K = (ln - m^2)/(-E^2), \quad H = (l - n)/2E.$$

Hence

$$l_y - m_x = E_y(l - n)/2E = E_y H, \quad m_y - n_x = E_x H.$$

Using  $EH = (l - n)/2$  we conclude that

$$((l + n)/2)_y - m_x = -EH_y = 0, \quad ((l + n)/2)_x - m_y = EH_x = 0.$$

Define

$$\Phi : V \rightarrow \mathbb{L}, \quad \Phi = ((l + n)/2, m),$$

which is  $\mathbb{L}$  differentiable, see [6]. Notice that

$$\langle \Phi, \Phi \rangle = E^2(-H^2 + K),$$

so  $\Phi$  is null at  $p$ . Let  $L : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  denote the linear map with matrix representation

$$\begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

in the standard basis on  $\mathbb{R}^2$ . Then

$$L \circ \Phi \circ L^{-1}(z) = (f_1(z_1), f_2(z_2))$$

for two real analytic functions  $f_1$  and  $f_2$  defined on small open intervals  $I_1$  and  $I_2$  around 0. Since  $\Phi(p)$  is null  $f_1(0) = 0$  or  $f_2(0) = 0$ . Hence

$$\sigma_+(I_+) \subset M_0 \quad \text{or} \quad \sigma_-(I_-) \subset M_0. \quad \square$$

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